

# PRINCES RISBOROUGH TOWN COUNCIL

## WHISTLEBLOWING POLICY AND PROCEDURE

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### *OUR COMMITMENT*

Princes Risborough Town Council recognises that in many cases, the Council's employees, Members, volunteer helpers or contractors will be the people most likely to become aware of wrongdoing or malpractice. In the light of this, the Council encourages its staff, Members, contractors and volunteers to use the mechanisms specified within this policy to report malpractice by its Members, employees or ex-employees with confidence and without having to worry about being victimised, discriminated against or disadvantaged in any way as a result.

Where Council employees or Members are aware of, or suspect malpractice, the Council expects them to report their suspicions. The Council will treat failure to report wrongdoing or malpractice as a serious matter.

Princes Risborough Town Council will not tolerate any harassment or victimisation of a whistleblower (including informal pressures), and will treat this as a serious disciplinary offence. Appropriate disciplinary action may be taken in accordance with the Council's Disciplinary Procedure.

### *WHAT IS WHISTLEBLOWING*

The term "whistleblowing" in this policy refers to a disclosure made in the public interest by employees, contractors, volunteers or Members, of malpractice, illegal acts or omissions at work. A whistleblower is a witness and not a complainant. Whistleblowing is not the same as making a complaint or raising a grievance, where the individual is saying that they have been personally poorly treated. A whistleblower is usually not directly or personally affected by the matter concerned.

### *LEGAL FRAMEWORK*

Under the Public Interest Disclosure Act 1998, workers who raise genuine concerns about a range of misconduct or malpractice at work have statutory protection against victimisation and dismissal. An employee who blows the whistle will be protected by the Act if the disclosure is made in the public interest about any of the following:

- a criminal act
- failure to comply with a legal obligation
- miscarriages of justice
- danger to health and safety
- any damage to the environment
- an attempt to cover up any of the above

A whistleblower will not be protected under the Act if they break the law when making a disclosure.

## *COVERAGE OF THIS POLICY*

The Whistleblowing Policy and Procedure should not be used to deal with complaints that employees may have about their employment. In most circumstances, complaints should be made through other policies and procedures, such as the Grievance Policy & Procedure, Disciplinary Policy & Procedure and Bullying & Harassment Policy. Service users should make complaints or raise concerns through the Complaints Procedure.

The aim of the Whistleblowing Policy and Procedure is to enable employees, members, contractors, volunteers to report an issue if they feel that it cannot be done using the existing procedures, particularly if the matter is not about their personal employment position. Whistleblowing refers to issues that are of such importance that the public interest is served by reporting the issue.

The following is a list of examples when this policy may be used (please note that this list is not exhaustive):

- any unlawful act, whether criminal or a breach of civil law, has been committed, is being committed or is likely to be committed
- suspected corruption or fraud
- disregard for legislation, particularly that of health & safety at work
- a breach of a code of conduct
- misuse of assets, including stores, equipment, vehicles, buildings, computer hardware and software
- causing damage to the environment
- breach of financial regulations
- showing undue favour over a contractual matter or to a job applicant
- breach of, or failure to implement or comply with any policy determined by the Council
- failure to take reasonable steps to report and rectify any situation which is likely to give rise to a significant avoidable cost, or loss of income, to the Council or would otherwise seriously prejudice the Council
- abuse of power, or the use of the Council's powers and authority for any unauthorised or ulterior purpose
- deliberately concealing information in relation to any of the items on this list

Whilst volunteers are not covered by the Public Interest Disclosure Act, this Council's policy has been written to encompass Members and volunteers.

## *WHO A DISCLOSURE CAN BE MADE TO:*

### 1. The Town Council

The Public Interest Disclosure Act directs workers towards raising matters internally in the first instance, and to use internal whistleblowing policies.

For a disclosure to be protected by law, a whistleblower must:

- make the disclosure in the public interest
- reasonably believe that the information is substantially true

## 2. A Legal Advisor

A disclosure of information for the purpose of obtaining legal advice is protected.

## 3. Prescribed Persons

Whilst the Town Council strongly encourages disclosures to be made internally, if a whistleblower feels unable to use the procedure outlined within this policy they can make a disclosure to other people/organisations as prescribed by government. The most relevant prescribed people relating to the Town Council are:

- Health and Safety Executive and Wycombe District Council for health and safety risks
- Environment Agency
- Serious Fraud Office, Inland Revenue, Customs & Excise
- National Audit Office, Audit Commission
- Information Commissioner

To make a protected disclosure to a prescribed person, the whistleblower must:

- make the disclosure in the public interest
- reasonably believe that the information is substantially true
- reasonably believe that the information is being disclosed to the right person or organisation

## 4. Wider Disclosures

A whistleblower would also be protected under the Act if they made wider disclosures, e.g. to a professional body, the Police or an MP, etc., if the whistleblower:

- makes the disclosure in the public interest
- reasonably believes that the information is substantially true
- does not act for personal gain
- acts reasonably taking into account the circumstances

In order to make a protected wider disclosure, the whistleblower must either:

- reasonably believe that the Council would treat them unfairly if they made a disclosure internally or to a prescribed person
- reasonably believe that an internal disclosure would result in the destruction or cover-up of evidence
- have previously disclosed the same or very similar information internally or to a prescribed person

## *WHISTLEBLOWING PROCEDURE*

### Confidentiality

If the whistleblower requests confidentiality, the Council will not reveal the whistleblower's name or position without their permission. It is, however, easier to pursue and verify complaints if the whistleblower provides his/her name. Unsupported, anonymous complaints and allegations will be treated with caution. There may be circumstances when the Council is legally obliged to disclose the name of a whistleblower, e.g. when ordered to by court.

## Protection for Whistleblowers

If an employee, Member, volunteer or contractor raises a concern which they believe to be true, the Council will take appropriate action to protect the individual from harassment, victimisation and bullying. Employees who raise a genuine concern under this policy will not be at risk of losing their job. However, a whistleblower will not be protected from the consequences of making a disclosure if, by doing so, they commit a criminal offence.

## Involvement of Trades Unions

Princes Risborough Town Council recognises the right of whistleblowers to be advised and represented by their union when raising concerns under the whistleblowing procedure.

## Designated Officer

The Town Clerk is the Designated Officer to be a point of contact for concerns raised under this procedure.

### 1. Raising a Concern

An employee should normally raise their concerns about wrongdoing or malpractice with his/her immediate line manager. Whilst the whistleblower is not expected to prove the truth of an allegation or to investigate the matter themselves, the whistleblower should have a reasonable belief and some evidence to back it up before raising their concerns. The manager will notify the Designated Officer within two working days whenever possible.

Where it is not appropriate to go via normal management reporting channels, because the matter is serious and sensitive (e.g. if the whistleblower believes that his or her manager is involved), he/she should contact the Designated Officer. Members, volunteers and contractors should contact the Designated Officer.

The Designated Officer and line managers must take all concerns seriously.

Where, exceptionally, the concern is about the Designated Officer, the concerns should be reported to the Chairman of the Council, who will decide how the investigation will proceed. This may include an external investigation.

### 2. Employer's Response

Within ten working days, the Designated Officer will arrange an initial interview to ascertain the nature of the whistleblower's concern. The interview will be confidential if requested by the whistleblower. The whistleblower has the right to bring a friend or union representative along with them. The friend or union representative must observe confidentiality.

At this stage, the whistleblower will be asked whether he/she wishes his/her identity to be disclosed and will be reassured about protection from possible reprisals or victimisation.

The whistleblower will be asked if they wish to make a written or verbal statement.

In either case, the Designated Officer will write a brief summary (dated) of the interview, which will be agreed by both parties. The Designated Officer will be responsible for the commission of any further investigation.

### 3. Investigation

The investigation may need to be carried out under strict confidentiality, i.e. the subject of the investigation will not be informed until, or if, it becomes necessary to do so. This may be appropriate in cases of suspected fraud.

The Designated Officer will offer to keep the whistleblower informed about the investigation and its outcome.

If the investigation finds that there is a case to be answered by any employee, Princes Risborough Town Council's Disciplinary Procedure will be used. If the investigation identifies that there is no case to answer, but that the whistleblower held a genuine concern and was not acting maliciously, the matter will be closed. The Designated Officer will ensure that the whistleblower suffers no reprisals or victimisation.

If the investigation discovers that an employee has made false accusations with malicious intent, appropriate disciplinary action may be taken in accordance with the Council's Disciplinary Procedure.

If the concern raised is very serious or complex, it may be necessary for an inquiry to be held. The Designated Officer may refer the issue to the police or other agencies in serious cases.

### 4. Following the Investigation

The Designated Officer will arrange a meeting with the whistleblower within ten working days of the conclusion of the investigation in order to feedback any action taken. This will not include details of any disciplinary action, as this is confidential. If the whistleblower is not satisfied with the outcome of the investigation, the whistleblower may make disclosures to prescribed persons, or wider disclosures, under the conditions outlined in the section "Who a Disclosure Can be Made To".

### *POLICY REVIEW*

The policy will be reviewed every four years. In the event that an incident of whistleblowing takes place, Princes Risborough Town Council will take the opportunity to examine its policy, procedures and working methods to see if they can be improved.

Adopted: November 2012

Reviewed and adopted March 2018